



Branch Manager
Central Bank of India
_____ **Branch**

Dear Sir,

**Auction of Government Securities – Non-competitive bidding facility to retail investors –
Auction dated _____**

I / We, the undersigned, hereby offer to purchase “_____ percent Government Stock, _____”, at the auction to be held by Reserve Bank of India on the captioned date as set out below:

- i) Name of the Applicant(s) :
ii) Address :
- iii) Telephone No. :
iv) Account particulars – HSS/CD Account No. _____ with Central Bank of India
_____ branch
- v) Face value of the Government Stock required : Rs.
vi) 15% of face value to cover weighted average rate : Rs.
vii) Commission / brokerage / service charges : Rs.
Total : Rs.
- viii) Security to be delivered in demat mode / physical :
ix) In case of requirement in demat mode, details of DP :
- Name and address of DP :
- DP ID :
- Client ID :

Undertaking

1. I / We have not submitted any other bid with any bank or PD for the auction to be held on the captioned date, under the scheme for Non-competitive bidding facility.
2. I / We hereby undertake to accept the allocation of securities made to me / us on receipt of intimation to this effect from the bank.
3. I / We hereby authorize you to debit my / our HSS / CD account for any additional amount that may become payable on account of the allotment of securities to me / us.
4. I / We agree / undertake to immediately collect the letter of acceptance from your office and to deposit any additional amount that may become payable on account of the allotment of securities to me / us.
5. I / We have read the terms and conditions of bidding for the auction to be held on the captioned date and undertake to abide by them.

Yours faithfully,

Signature and / or
Office Stamp of the Applicant

Dated : _____

Notes

1. If the applicant’s signature is by thumb mark, it should be witnessed by two persons. The full name, occupation and address of the witnesses should be appended to their signature.
2. If the application is made in the name of a registered body, should be submitted along with the application form.

- i) Certificate of Incorporation / Registration in original or a copy thereof certified as true by the Issuing Authority under his official seal.
- ii) Certified copies of Memorandum and Articles of Association or the rules and regulations / bye-laws of the company / body.
- iii) Certified copy of resolution in favour of person(s) authorized to deal in Government securities on behalf of the company / body together with his / their duly attested specimen signature(s).

Terms and Conditions

1. The allotment of securities will be made subject to the terms and conditions stipulated under the scheme for Non-competitive bidding facility, as announced by Reserve Bank of India.
2. Government Stock will be issued for a minimum amount of Rupees ten thousand (face value) and in multiples thereof.
3. Tenderer should check for himself the result of the auction with the branch and collect the letter of allotment and arrange for immediate payment of any additional amount that may be due to the bank.
4. The Government Stock would be issued for the nominal amount applied for at the weighted average rate that will emerge in the auction on the basis of competitive bid, as decided by Reserve Bank of India.
5. The Government Stock will be issued to the parties by credit to their DP Account or in the form of physical certificates to others.
6. PAN / GIR No. to be indicated in case of investments exceeding Rs.10.00 lakh.

CENTRAL BANK OF INDIA

An opportunity to acquire Government India Securities at competitive rates by Retail Investors

Auction of Government Securities – Non-competitive bidding facility to retail investors – Operational guidelines

Scope :

Reserve Bank of India has permitted retail participation on “non-competitive bid” basis, upto 5 percent of the issue size to be notified by them in select auctions of dated Government of India securities.

Eligibility :

Investors who satisfy the following :

- do not maintain current account (CA) or Subsidiary General Ledger (SGL) account with the Reserve Bank of India
- make a single bid for an amount not more than Rupees one crore (face value) per auction
- submit their bid indirectly through any one bank or PD offering this scheme.

Exceptions : Regional Rural Banks (RRBs), Urban Cooperative Banks (UCBs) and Non-banking Financial Companies (NBFCs) shall be covered under this scheme in view of their statutory obligations.

Coverage :

Open to any person including firms, companies, corporate bodies, institutions, provident funds,

trusts and any other entity as may be prescribed by Reserve Bank of India.

The minimum amount for bidding will be Rs.10,000/- (face value) and thereafter in multiples in Rs.10,000/- as hitherto for dated stocks.

Other Operational Guidelines :

1. It will not be mandatory for the retail investor to maintain a Constituent Subsidiary General ledger (CSGL) account with the bank or PD through whom they wish to participate. However, an investor can make only a single bid in this scheme. An undertaking to the effect that the investor is making only a single bid will have to be obtained and kept on record by the bank or PD.
2. Allotment under the Non-competitive segment to the bank or PD will be at the weighted average rate that will emerge in the auction on the basis of the competitive bidding. The securities will be issued to the bank or PD against payment.
3. In case the bid amount is less than the reserved amount, the shortfall will be taken to competitive portion.
4. Security would be issued by Reserve Bank of India in physical form or in Electronic form (DP account of the investor).
5. Brokerage / commission / service charges for rendering this service to their clients is 6 Paise per Rs.100/-.

- Initially this facility is available only for our bank’s accountholders.

- Amount of bid will be recovered along with bid application at notional price. Any short fall between the notional price and the allotted price will be further recovered after the allotment.

- The Scheme is subject to changes from time to time as per Reserve Bank of India guidelines in force.

- All the details are also available in our Website – <http://www.centralbankofindia.co.in>

The name our branches authorized for accepting the bids are as under :

Name of the Branch	Contact person / Telephone number
Addison Bldgs., Chennai	Mr. K. Nagarajan / 044 – 852 1517
Andheri	Mr. V.V.N. Rao / 022 – 832 7530
Bandra Kurla	Mr. A.K. Gokulan / 022 – 659 0121
Bhubaneshwar	0674 – 534665
Calcutta Main	033 – 220 8921
Chandigarh, Sector 17	0172 – 702431
Cochin	Mr. P.S.A. Pillai / 0484 – 229746
Guwahati Main	0361 – 540483
Hyderabad Main	Mr. D.N. Rao / 040 – 473161
Jail Raod, Bhopal	Mrs.Omana Vishambaran / 0755 – 553869
Jaipur, S.C. Road	Mr. J.P. Sharma / 0141 – 364144
Jamnagar Main	Mr. B.K. Solanki / 0288 – 676546
Janpath, New Delhi	Mr. Harish Manchanda / 011 –

	332 0568
Kanpur	0512 – 318277
K.G.Road, Bangalore	Mr. P.V. Chadaga / 080 – 287 3096/7/8
Lal Darwaja, Ahmedabad	Mr. Shamik Mehta / 079 – 550 4899
Lucknow	0522 – 222167
Mumbai Main	Mr. A.P. Whanmane / 022 – 267 3918
Nagpur	0712 – 528011
Nariman Point	Mr. S.C. Varma / 022 – 202 4981
Patna	0612 – 222501
Pune Camp	9520 – 613 5112
Raipur	Mr. V. Rajesh / 0771 – 229549
Rajkot Main	0281 – 222915
Surat Main	Mrs.Sujatha Desai / 0261–742 0325/ 326
Thiruvananthapuram	Mr. R.Somanathan Nair / 0471 – 462193
Vashi (New Mumbai)	Mrs. Thulasi / 022 – 768 2492